

## 4. Petri Nets

### 4.1 Introduction

#### Goals

- modeling and analysis of the behavior of arbitrary systems => models of system dynamics (processes)
- mathematical foundation (based on Linear Algebra and Graph Theory) which allows to formally prove aspects like
  - a given state is reachable (i.e. may be provoked by an event)
  - a given sequence of states is admissible
  - certain states may never be reached
  - deadlocks may occur or not ('liveness')
  - channels/storages do not overflow
  - starvation of (sub)processes
- clear distinction between parallelism and concurrency
- theoretical foundation (theory of non-sequential processes, Carl Adam Petri, Diss. 1962: "Kommunikation mit Automaten")

## 4. Petri Nets

### 4.1 Introduction

#### Basic Idea

- introduction of a formal language (terminal symbols, syntactic rules, abstract semantics)
- "conditioning" for modeling purposes by **net interpretations**, i.e. by relating domain specific notions to the syntactic elements of the language
- transparent and domain independent representation concepts

=> modeling systems for different purposes based on the same theoretical and formal fundaments

## 4. Petri Nets

### 4.1 Introduction

#### Typical application domains

- technical and industrial processes
- business process modeling
- hardware design and analysis
- communication protocols
- parallel programs
- distributed data bases
- requirements engineering
- simulation

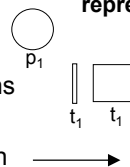
## 4 Petri Nets

### 4.1 Introduction

#### Basic Definition

- given
  - a set  $P = \{p_0, p_1, \dots, p_n\}$  of so-called places
  - a set  $T = \{t_0, t_1, \dots, t_n\}$  of so-called transitions
  - a relation  $I \subseteq P \times T$  called input relation
  - a relation  $O \subseteq P \times T$  called output relation
- the quadruple  $PN = (P, T, I, O)$  is called a **Petri Net (Transition Net)** if
  - $P \cup T \neq \emptyset$ ,  $P \cap T = \emptyset$ ,  $|P \cup T| < \infty$  and if
  - the un-directed graph  $(P \cup T, I \cup O)$  is a connected one  
(i.e., there exists a path from each node to each other one)

Graphical  
representation



Note: A Petri Net is a bipartite directed graph

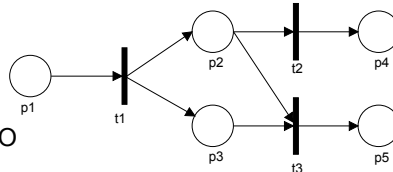
## 4 Petri nets

### 4.1 Introduction

#### Example

$$\begin{aligned}
 P &= \{p_1, p_2, p_3, p_4, p_5\}, \\
 T &= \{t_1, t_2, t_3\}, \\
 I &= \{(p_1, t_1), (p_2, t_2), (p_2, t_3), (p_3, t_3)\}, \\
 O &= \{(p_2, t_1), (p_3, t_1), (p_4, t_2), (p_5, t_3)\}
 \end{aligned}$$

- input (upstream)  $(p, t) \in I$



- output (downstream)  $(p, t) \in O$

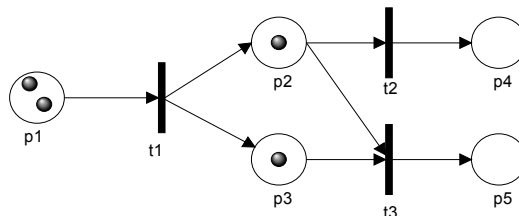
$I, O$  may be multisets (extension that allows for more than 1 edge between a particular pair  $(p, t)$ ); in that case  $I, O$  may be written as functions  $I, O: T \rightarrow P$  with  $I(t)$ ,  $O(t)$  is a multiset (collection) of places

## 4 Petri nets

### 4.2 Marked Petri nets

- Marks (tokens)
  - $M: P \rightarrow \mathbb{N}_0$ : marking
  - $M(p) = n$  (place  $p$  contains  $n$  marks)
- formal definition
 

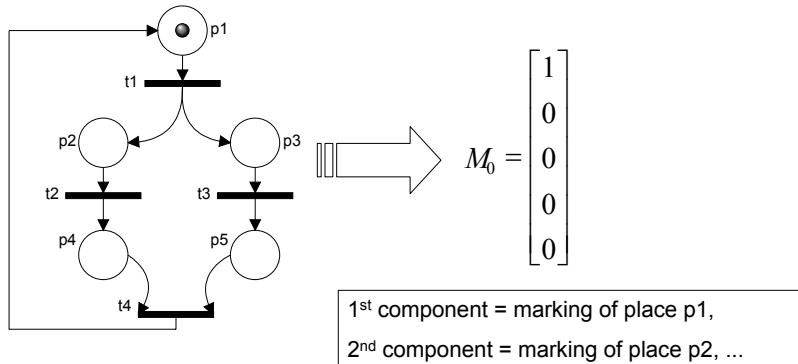
A Marked PN is a **quintuple**  $MPN = (PN; M)$ , where  $PN = (P, T, I, O)$  is a Petri net and  $M: P \rightarrow \mathbb{N}_0$  a marking
- representation of marks: dots



## 4 Petri nets

### 4.2 Marked Petri nets

- Initial Marking  $M_0$
- marking at a given moment may be represented as a **column vector**



## 4 Petri nets

### 4.2 Marked Petri nets

- A transition  $t \in T$  of a marked Petri net  $MPN = (PN; M)$  is said to be **fireable (enabled;  $en(t, M)$ )** if  $M(p_i) \geq I_{p_i}(t)$  for each  $p_i \in P$ , [ $I_{p_i}(t)$  being the number of occurrences of  $p_i$  in  $I(t)$ ]  
 $\Rightarrow$  a source transition (transition without an input place) is always fireable
- The **firing** of an enabled transition  $t \in T$  within a marked Petri net  $MPN = (PN; M)$  results in a marking  $M' = \delta(M, t)$  with  
 $M'(p_i) = M(p_i) - I_{p_i}(t) + O_{p_i}(t)$  for each  $p_i \in P$ ,  
 (take  $I_{p_i}(t)$  tokens from each of  $t$ 's input places and add  $O_{p_i}(t)$  tokens to each of its output places)

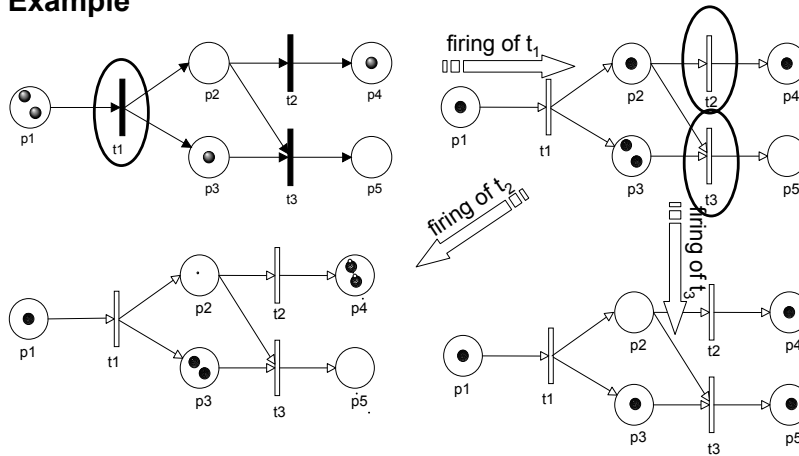
Remark:

- the firing of a transition is indivisible (i.e. atomic)
- the firing of a transition has no duration (time is not considered)
- concurrency: a transition may fire before, after or in parallel with another fireable one (if they are not in "conflict", see below)

## 4 Petri nets

### 4.2 Marked Petri nets

#### Example



## 4 Petri nets

### 4.2 Marked Petri nets

#### Transition function and reachability

Given a Petri net  $PN = (P, T, I, O)$  with  $|P| = n$ .

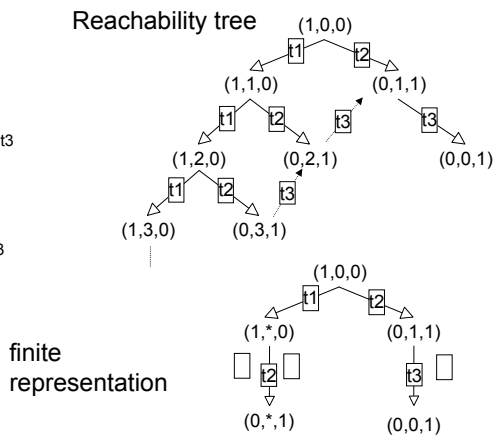
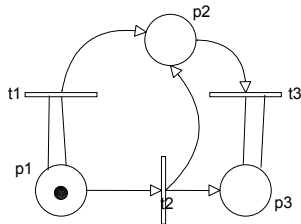
- the mapping  $\delta: N^n \times T \rightarrow N^n$  defined by  $\delta(M, t) = M'$  for each  $M \in N^n$  is called the **transition function** of  $PN$
- $M_s$  is said to be **reachable** from  $M_0$  in  $(PN; M_0)$  if there is a sequence  $t_1, t_2, \dots, t_k$  of transitions such that  $M_s = \delta(\delta(\dots \delta(M_0, t_1), t_2) \dots), t_k)$ .  
If  $k=1$  then  $M_s$  is said to be **immediately reachable** from  $M_0$  in  $(PN; M_0)$
- The set  $R(PN; M_0) = \{M_0\} \cup \{M \mid M \text{ reachable from } M_0 \text{ in } (PN; M_0)\}$  is called the **reachability set** of  $(PN; M_0)$

Note:  $R(PN; M_0)$  may be visualized by a **reachability tree**

## 4 Petri nets

### 4.2 Marked Petri nets

#### Example



## 4 Petri nets

### 4.3 Important net properties

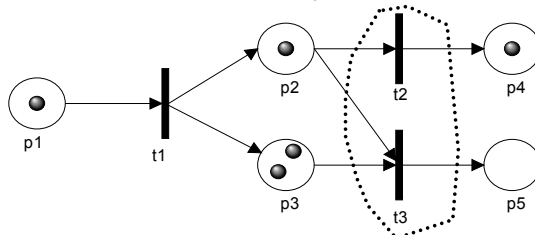
#### Conflict

2 fireable transitions  $t_i$  and  $t_j$  are said to be “in conflict”, if there is a place  $p$  in  $I(t_i) \cap I(t_j)$  such that  $M(p) < I_p(t_i) + I_p(t_j)$

⇒ structural conflict; firing of  $t_i$  disables  $t_j$  and vice versa

⇒  $t_i$  and  $t_j$  are causally dependent ⇒ no concurrency

Example: firing of  $t_2$  disables  $t_3$  and vice versa



## 4 Petri nets

### 4.3 Important net properties

- **Coverability**

A marking  $M'$  in a marked Petri net  $(PN; M_0)$  is said to be coverable if there exists a marking  $M''$  in  $R(PN; M_0)$  such that  $M'' \geq M'$  ( $M''$  covers  $M'$ )

- **Boundedness**

- A place  $p \in P$  is called  $k$ -bounded ( $k$ -safe) within a MPN  $= (PN; M_0)$ , if  $M'(p) \leq k \in \mathbf{N}$  for each  $M' \in R(PN; M_0)$
- A Petri net is said to be  $k$ -bounded ( $k$ -safe) if all its places  $p \in P$  are  $k$ -bounded

Note:

The reachability set  $R(PN; M_0)$  of a  $k$ -bounded Petri net is finite

## 4 Petri nets

### 4.3 Important net properties

- **Conservation**

- A marked Petri net  $MPN = (PN; M_0)$  is said to be **strictly conservative**, if for each  $M \in RP(PN; M_0)$ :  $\sum M(p_i) = \sum M_0(p_i)$  i.e., the total number of tokens remains constant

This implies for each  $t_i \in T$ :  $|I(t_i)| = |O(t_i)|$

- A marked Petri net  $MPN = (PN; M_0)$  is said to be **conservative**, if there is a weighting vector  $w = (w_1, w_2, \dots, w_n) \neq \text{null\_vector}$  such that  $w_i \in \{0, 1\}$  and  $w * M = w * M_0$  for all  $M \in R(PN; M_0)$  (vector product)

## 4 Petri nets

### 4.3 Important net properties

- **Liveness of transitions**

Given a marked Petri net  $MPN = (PN; M_0)$ ; we distinguish the following levels of liveness:

- **Level 0**: a transition  $t$  is not enabled in any  $M' \in R(PN; M_0)$ ; in this case the transition is called **dead**
- **Level 1**: a transition  $t$  is potentially fireable, i.e. there exists a  $M' \in R(PN; M_0)$  such that  $en(t, M_0)$
- **Level 2**: a transition  $t$  is at least  $n$  times fireable where  $n \in \mathbf{N}$
- **Level 3**: a transition  $t$  can be fired infinitely
- **Level 4**: for each  $M' \in R(PN; M_0)$  there is a  $M'' \in R(PN; M')$  such that  $en(t, M'')$

## 4 Petri nets

### 4.3 Important net properties

- **Liveness of Petri nets**

Given a marked Petri net  $MPN = (PN; M_0)$ :

- $MPN$  is **live at level  $i$**  if all its transitions are live at level  $i$
- $MPN$  is **live** if all its transitions are live at level 4
- $MPN$  is **dead** if all its transitions are dead

- **Deadlock**

- A **deadlock** (sink state) is a marking  $M$  such that no transition is enabled (i.e. the marking can no longer evolve); this implies  $R(PN; M) = \{M\}$
- A marked Petri net  $MPN = (PN; M_0)$  is said to be deadlock-free (pseudolive) if no reachable marking  $M' \in R(PN; M_0)$  is a deadlock

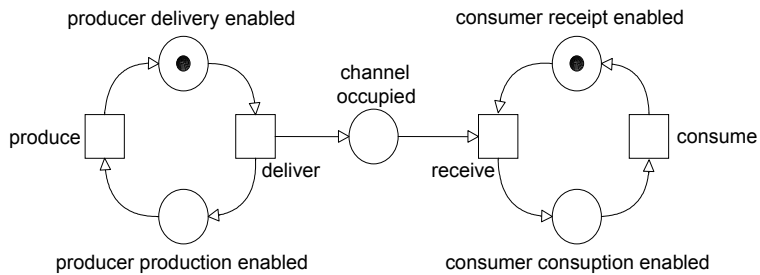


## 4 Petri nets

### 4.4 Net interpretations

- **Condition-event nets**

- place: condition
- transition: event
- ⇒  $I(t)$ : preconditions of  $t$ ,  $O(t)$ : postconditions of  $t$

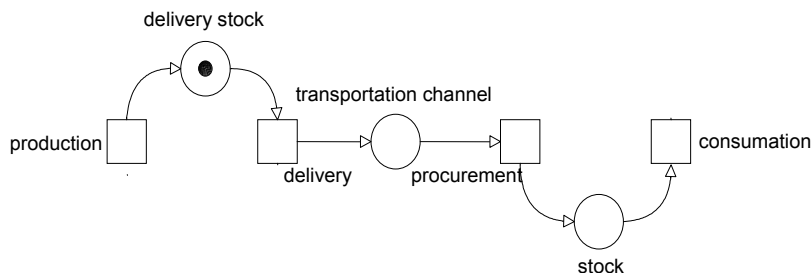


## 4 Petri nets

### 4.4 Net interpretations

- **Channel-instance nets**

- place: channel/storage
- transition: instance (activity)
- ⇒  $I(t)$ : input channels  $t$ ,  $O(t)$ : output channels of  $t$

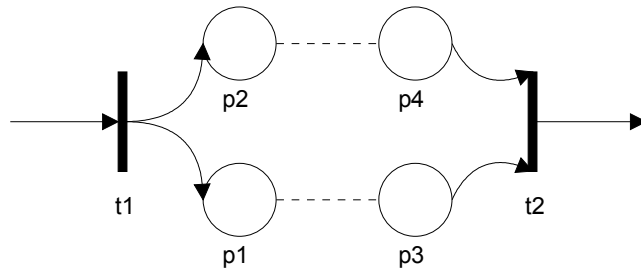


## 4 Petri nets

### 4.5 Some patterns for system analysis

- **Concurrency:** transitions are causally independent
- **Parallelism:** transitions are temporarily independent

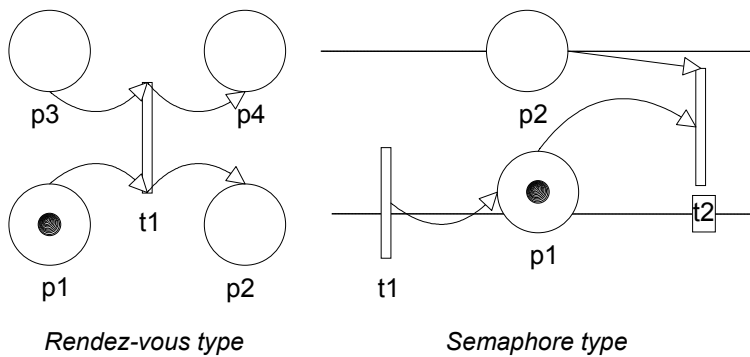
Note: concurrent transitions may fire before, after or concurrently with each other



## 4 Petri nets

### 4.5 Some patterns for system analysis

- **Synchronization**

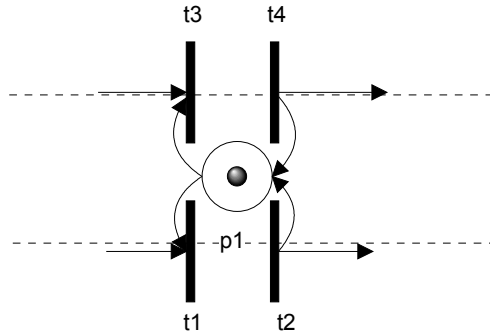


## 4 Petri nets

### 4.5 Some patterns for system analysis

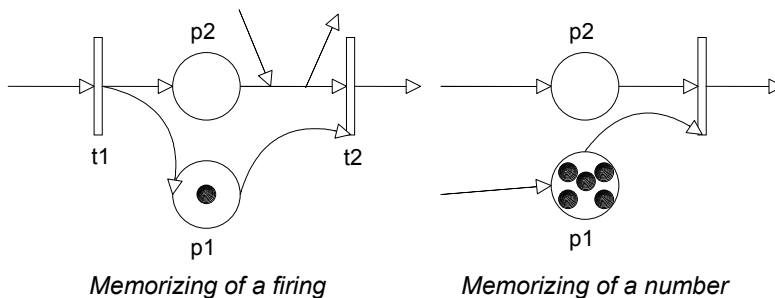
- **Mutual exclusion**

e.g. program parts which use the same resource (e.g. common memory)



## 4 Petri nets

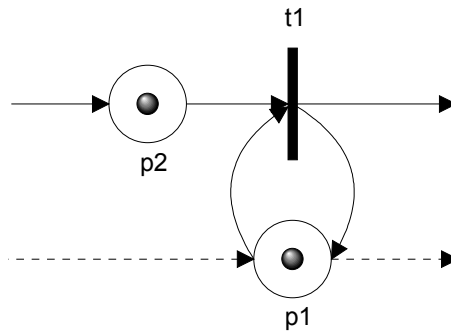
### 4.5 Some patterns for system analysis



## 4 Petri nets

### 4.5 Some patterns for system analysis

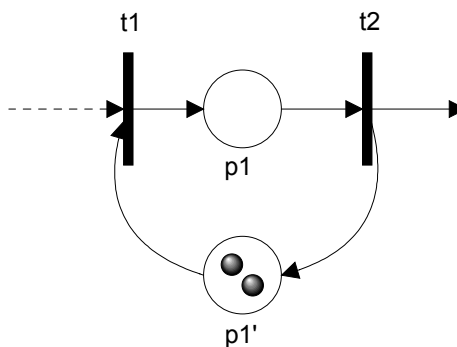
- Firing of  $t_1$  is conditioned by the marking of  $p_1$  without modifying this marking: limited capacity



## 4 Petri nets

### 4.5 Some patterns for system analysis

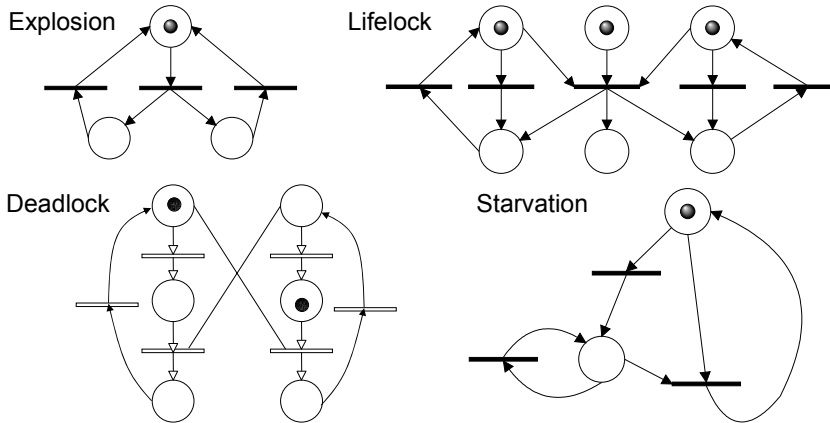
- limited capacity:** Firing of  $t_1$  is only possible if place  $p_1$  contains fewer than two tokens



## 4 Petri nets

### 4.5 Some patterns for system analysis

#### Prototypical Constellations



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P2-25

## 4 Petri nets

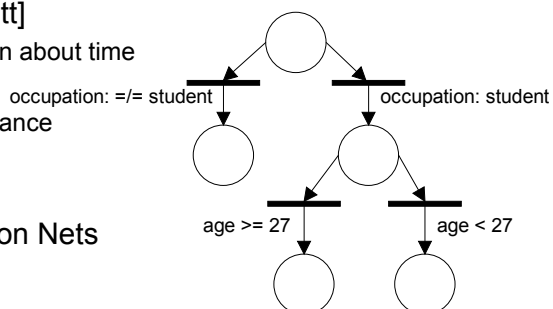
### 4.6 Extended Petri nets

- **basic idea:**

valuable information transported by tokens within a Petri net

- **E-Nets [Noe & Nutt]**

- contain information about time
- models for computer performance evaluation



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P2-26

## **4 Petri nets**

### **4.6 Extended Petri nets**

- XML and Petri nets:
  - e.g. PNML – the Petri Net Markup Language  
(<http://www.informatik.hu-berlin.de/top/pnml>)
- Object oriented Petri nets